



ATTORNEY DOCKET NO. 14103.0001  
PATENT

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201  
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2023

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
Ross et al. ) Group Art Unit: 2756  
Serial No. 09/398,268 ) Examiner: Not Assigned  
Filed: September 17, 1999 )  
For: "AFFILIATE COMMERCE SYSTEM AND )  
METHOD" )

F3

RESPONSE TO NOTICE TO FILE MISSING PARTS

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

NEEDLE & ROSENBERG, P.C.  
Suite 1200, The Candler Building  
127 Peachtree Street, N.E.  
Atlanta, Georgia 30303-1811

December 13, 1999

Sir:

In response to the October 12, 1999 Notice to File Missing Parts of Application-Filing Date

Granted, enclosed are:

1. an executed Declaration and Power of Attorney;
2. a Verified Statement (Declaration) Claiming Small Entity Status;
3. a check in the amount of \$562.00 (\$65.00 surcharge, \$497.00 filing fee);  
and
4. a copy of the Notice.

Applicant hereby formally requests that a corrected filing receipt be issued. Specifically, the original filing receipt, a copy of which is enclosed, as well as the Notice improperly indicate the attorney docket number as "141303.0001". The correct docket number "14103.0001" is

ATTORNEY DOCKET NO. 14103.0001  
SERIAL NO. 09/398,268

indicated on the cover sheet of the application as filed, a copy of which is enclosed. We believe that this error is on the part of the Patent Office, and therefore, that no additional fee is due for this correction.

Enclosed is a check in the amount of \$562.00 (\$65.00 for surcharge, \$497 filing fee). This amount is believed to be correct, however, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 14-0629.

Respectfully submitted,

NEEDLE & ROSENBERG, P.C.

  
\_\_\_\_\_  
Gregory J. Kirsch  
Registration No. 35,572

Suite 1200, The Candler Building  
127 Peachtree Street, N.E.  
Atlanta, Georgia 30303-1811  
(404) 688-0770

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS Assistant Commissioner for Patents, Washington, D.C. 20231, on this 13 day of DEC 1999, 1999.

  
\_\_\_\_\_  
Gregory J. Kirsch

Date

13 DEC 1999



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO/TITLE
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09/398, 268 09/17/99 ROSS D 141303.0001

0212/1012

NOT ASSIGNED

NEEDLE & ROSENBERG  
THE CANDLER BUILDING  
127 PEACHTREE STREET N E  
SUITE 1200  
ATLANTA GA 30303-1811

DATE MAILED: 2756

10/12/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
 small entity (statement filed)  non-small entity is \$ 124.00.

1. The statutory basic filing fee is:

- missing.  
 insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ 234.00 for 3 total claims over 20.

\$ 234.00 for 3 independent claims over 3.

\$            for multiple dependent claim surcharge.

2 - Nov - 1999

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

- is missing or unsigned.  
 does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent-Examination Division (703) 308-1202

12/22/1999 CCETIM 00000019 09398268

01 FC:201	360.00 00
02 FC:205	65.00 00
03 FC:202	117.00 00

U.S. GPO: 1998-446-824

FILING RECEIPT

DEC 20 1999



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	NAME OF APPLICANT	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/398,268	NEEDLE & ROSENBERG	09/17/99	2756	\$0.00	141003.0001	24	14	6

NEEDLE & ROSENBERG  
THE CANDLER BUILDING  
127 PEACHTREE STREET N E  
SUITE 1200  
ATLANTA GA 30303-1811

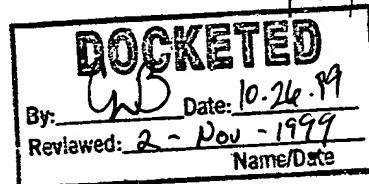
Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) D. DELANO ROSS JR.; DANIEL D. ROSS; JOSEPH R. MICHAELS;  
WILLIAM R. MAY; RICHARD A. ANDERSON.

CONTINUING DATA AS CLAIMED BY APPLICANT—  
PROVISIONAL APPLICATION NO. 60/100,697 09/17/98

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 10/08/99  
TITLE  
AFFILIATE COMMERCE SYSTEM AND METHOD

PRELIMINARY CLASS: 709



DATA ENTRY BY: RIVERS, ANNETTE

TEAM: 01 DATE: 10/08/99



(See reverse for new important information)



EXPRESS MAIL NO. EL322796195US  
ATTORNEY DOCKET NO.: 14103.0001  
PATENT

APPLICATION  
FOR  
**UNITED STATES LETTERS PATENT**  
ON  
**AFFILIATE COMMERCE SYSTEM AND METHOD**

TO ALL WHOM IT MAY CONCERN:

Be it known that we, **D. Delano Ross Jr.**, having a post office address and residence address at 1040 Vinebrook Lane, Alpharetta, Georgia 30005, U.S.A., a citizen of the United States of America; **Daniel D. Ross**, having a post office address and residence address at 1859 Tennille Court, Dunwoody, Georgia 30338, U.S.A., a citizen of the United States of America; **Joseph R. Michaels**, having a post office address and residence address at 4660 East Forest Peak, Marietta, Georgia 30066, U.S.A., a citizen of the United States of America; **William R. May**, having a post office address and residence address at 1394 Emory Road, N.E., Atlanta, Georgia 30306, U.S.A., a citizen of the United States of America; **Richard A. Anderson**, having a post office and residence address at 4893 Country Cove Way, Powder Springs, GA 30127, U.S.A., a citizen of the United States of America have invented new and useful improvements in a

**AFFILIATE COMMERCE SYSTEM AND METHOD**

for which the following is a specification.